

# T Transportation Committee

Meeting date: March 28, 2011

Metropolitan Council meeting: April 13, 2011

## ADVISORY INFORMATION

**Date:** March 17, 2011  
**Subject:** 2011-2014 TIP Amendment: FTA Section 5310  
 Projects for Transportation for Elderly and Persons  
 with Disabilities (TAB Action 2011-32)  
**District(s), Member(s):** All  
**Policy/Legal Reference:** TAB Action  
**Staff Prepared/Presented:** Arlene McCarthy, Director (651-602-1754)  
 Amy Vennewitz, Dep. Dir. Finance & Planning 651-  
 602-1058)  
 Carl Ohrn, Planning Analyst (651-602-1719)  
**Division/Department:** Metropolitan Transportation Services TAB Action

## Proposed Action

That the Metropolitan Council concur with the Transportation Advisory Board (TAB) action to adopt an amendment to the 2011-2014 Transportation Improvement Program (TIP) that adds the following small bus purchases selected by the Minnesota Department of Transportation (MnDOT) to receive funding from Federal Transit Administration (FTA) Section 5310, Elderly and Persons with Disabilities Program.

| <u>Agency</u>              | <u># Buses</u> | <u>FTA \$</u> | <u>Local \$</u> | <u>Total \$</u> |
|----------------------------|----------------|---------------|-----------------|-----------------|
| Achieve Community Services | 1              | 52,800        | 13,200          | 66,000          |
| East Suburban Resources    | 1              | 52,800        | 13,200          | 66,000          |
| DARTS                      | 1              | 52,800        | 13,200          | 66,000          |
| Human Services, Inc        | 1              | 52,800        | 13,200          | 66,000          |
| Lifeworks Services, Inc.   | 2              | 105,600       | 26,400          | 132,000         |
| Merrick                    | 2              | 105,600       | 26,400          | 132,000         |
| Midwest Special Services   | 2              | 94,400        | 23,600          | 118,000         |
| Phoenix                    | 2              | 105,600       | 26,400          | 132,000         |
| PRISM Express              | 2              | 96,000        | 24,000          | 120,000         |
| Proact                     | 3              | 200,000       | 50,000`         | 250,000         |
| RISE                       | 1              | 52,800        | 13,200          | 66,000          |

## Background

Section 5310 is an FTA capital assistance grant program providing 80 percent funding for the purchase of wheelchair-accessible vans and buses by private non-profit organizations that serve elderly and/or disabled people, public bodies that coordinate services for the elderly and disabled, or any public body that certifies to the State that non-profits in the area are not readily available to carry out the services. The FTA annually awards Section 5310 Funds to each state, and MnDOT then conducts a competitive solicitation for projects to use these funds. The projects selected in the Metro Area will receive a total of \$1,173,000 of federal funds to support the purchase of 18 small buses.

## Rationale

In order to use these federal transportation funds in 2011, the project must be in the current TIP.

**Funding**

The new federal funds and the required local match are equal to project costs so the fiscal balance of the TIP is maintained. Each agency is responsible for the minimum 20% local match.

**Known Support / Opposition**

No opposition was presented at the TAC or the TAB.

# Transportation Advisory Board

of the Metropolitan Council of the Twin Cities

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Bill Hargis  
Chair

March 23, 2011

County Commissioners

Andy Westerberg  
Anoka County

Randy Maluchnik  
Carver County

Paul Krause  
Dakota County

Jan Callison  
Hennepin County

Tony Bennett  
Ramsey County

Jon Ulrich  
Scott County

Dennis Hegberg  
Washington County

Municipal Officials

Dick Swanson  
Blaine City Council

Bethany Tjornhom  
Chanhassen City Council

Julia Whalen  
Champlin City Council

James Hovland  
Mayor of Edina

Becky Petryk  
Hugo City Council

Will Roszbach  
Mayor of Maplewood

Robert Lilligren  
Minneapolis City Council

Russ Stark  
St. Paul City Council

Susan Haigh, Chair  
Metropolitan Council  
390 Robert Street No.  
St. Paul, MN 55101

Ms. Haigh,


On March 16, 2011, the Transportation Advisory Board voted to amend the 2011-2014 Transportation Improvement Program to add projects selected by MN/DOT to receive FTA Section 5310, Elderly and Persons with Disabilities Program funds.

The Section 5310 program was established in 1975 as a discretionary capital assistance program. In cases where public transit was inadequate or inappropriate, the program awarded grants to private non-profit organizations to serve the transportation needs of elderly persons and persons with disabilities.

MN/DOT's Office of Transit conducts a solicitation for projects to be funded through the Section 5310 Program. The funding requests in MN/DOT's solicitation are mostly for buses for dial-a-ride type service. The solicitation for these funds is out of synch with the adoption of the TIP so every year an amendment is required to add these projects into the TIP.

The TAB forwards the TIP amendment action to the Metropolitan Council along with additional information described in TAB action transmittal 2011-32.

Sincerely,

*for*   
Bill Hargis,  
Chair

Agency Representatives

vacant  
Metropolitan Council

Scott McBride  
Minnesota DOT

Lisa Peilen  
M.A.C.

David Thornton  
M.P.C.A.

Modal Representatives

Richard Mussell  
Transit

vacant  
Transit

Ron Have  
Freight

David Gepner  
Non-motorized

**Transportation Advisory Board**  
of the Metropolitan Council of the Twin Cities

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**ACTION TRANSMITTAL**

No. 2011-32

**DATE:** March 23, 2011  
**TO:** Metropolitan Council  
**FROM:** Transportation Advisory Board  
**SUBJECT:** TIP Amendment Request for FTA Section 5310 Projects for Transportation for Elderly and Persons with Disabilities.

**MOTION:** The TAB adopted an amendment to the 2011-2014 TIP that adds projects selected by Mn/DOT to receive FTA Section 5310, Elderly and Persons with Disabilities Program funds.

**BACKGROUND AND PURPOSE OF ACTION:** Mn/DOT's Office of Transit conducts a solicitation for projects to be funded through the Section 5310 Program. The Section 5310 program was established in 1975 as a discretionary capital assistance program. In cases where public transit was inadequate or inappropriate, the program awarded grants to private non-profit organizations to serve the transportation needs of elderly persons and persons with disabilities. FTA (then the Urban Mass Transportation Administration (UMTA) apportioned the funds among the States by formula for distribution to local agencies, a practice made a statutory requirement by the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA) and included in each subsequent transportation Act.

The funding requests in MN/DOT's solicitation are mostly for buses for dial-a-ride type service. The projects are listed in the TIP amendment letter. The solicitation for these funds is out of synch with the adoption of the TIP so every year, a TIP amendment is required to add these projects into the TIP. This is new money and is exempt from air quality conformity analysis. Additional background material is attached.

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**ROUTING**

| <b>TO</b>                           | <b>ACTION REQUESTED</b> | <b>DATE COMPLETED</b> |
|-------------------------------------|-------------------------|-----------------------|
| TAC Funding & Programming Committee | Review & Recommend      | February 17, 2011     |
| Technical Advisory Committee        | Review & Recommend      | March 2, 2011         |
| TAB Programming Committee           | Review & Recommend      | March 16, 2011        |
| Transportation Advisory Board       | Review & Adopt          | March 16, 2011        |
| Metropolitan Council                | Concurrence             |                       |



**Minnesota Department of Transportation**  
Office of Capital Programs and Performance Measures  
Mail Stop 440, 3<sup>rd</sup> Floor South  
395 John Ireland Boulevard  
Saint Paul, MN 55155

Office Telephone: (651) 366-3754  
Fax: (651) 366-3790

February 17, 2011

Karl Keel, Chair  
TAC Funding and Programming Committee  
Metropolitan Council  
390 N. Robert St.  
St. Paul, Minnesota 55101

RE: Amendment to the Minnesota 2011-2014 Transportation Improvement Program (STIP)  
State Project Number: 2011 FTA Section 5310 Projects  
Federal Project Number:

Dear Mr. Keel:

Please amend the Minnesota 2011-2014 Transportation Improvement Program (TIP) to add the following new projects in SFY 2011 of the STIP. The project is being submitted with the following information:

**PROJECT IDENTIFICATION:**

*Please see list of projects on page 4.*

**PROJECT BACKGROUND:**

1. Briefly describe why amendment is needed (e.g. project in previous STIP but not completed; illustrative project and funds now available; discretionary funds received; inadvertently not included in STIP).

Section 5310, Elderly and Persons with Disabilities Program, is funded by FTA through MnDOT's Office of Transit. The selection of these projects is done through grant applications submitted to FTA. The timing of project selection is not compatible with TIP/STIP development and in order for the agencies to purchase their vehicles in SFY 2011 an amendment is needed to identify them in the current TIP.

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2. How is Fiscal Constraint Maintained as required by 23 CFR 450.216 (check all that apply)?

- New Money – FTA 5310 Operating Funds\*     X      
(Discretionary, Special Allocations or Other New Funding Sources)
- Anticipated Advance Construction
- ATP or MPO or Mn/DOT Adjustment of other projects
- Earmark or HPP federal funds outside ATP target
- Other

\*These funds are in addition to regular target formula funds. Therefore fiscal constraint is maintained.

**CONSISTENCY WITH MPO LONG RANGE PLAN:**

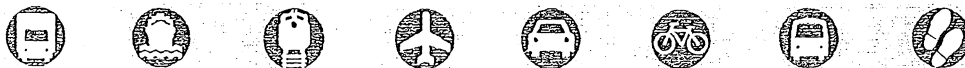
This amendment is consistent with the Metropolitan Council Transportation Policy Plan, adopted on January 14, 2009, with FHWA/FTA conformity determination established on September 16, 2009. The amendment is also consistent with the 2030 Policy Plan update adopted by the Metropolitan Council on November 10, 2010 and transmitted to MnDOT on November 22, 2010 for transmittal to USDOT for a conformity determination.

**AIR QUALITY CONFORMITY:**

- Subject to conformity determination.....
- Exempt from regional level analysis\*.....     X
- Exempt from project level analysis\*.....     X
- Exempt by virtue of interagency consultation\*.....
- N/A (not in a nonattainment or maintenance area) .....

\*Exempt Project Category # T-10 Purchase of new buses and rail cars to replace existing vehicles or for minor expansions of the fleet Per Section 93.126 of the Conformity Rules

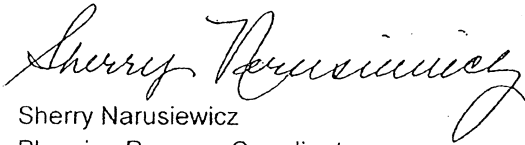
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Karl Keel  
February 17, 2011  
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We are requesting approval of this STIP amendment at this time. If you have any questions, please contact me at 651-234-7793.

Sincerely,



Sherry Narusiewicz  
Planning Program Coordinator  
Metro District Program Management

cc: Marisol R. Simon – FTA  
Sherry Narusiewicz, Metro Program Management  
Cindy Krumsieg, Metro Program Management  
Trudi Gustad, MnDOT Office of Transit

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## LIST OF PROJECTS

| SEQ # | STATE FISCAL YEAR | A T P | ROUTE SYSTEM | PROJECT NUMBER (S.P.#)<br>(Fed # if available) | AGENCY                     | DESCRIPTION<br>Include location, description of all work, & city (if applicable) | MILES | PROG | TYPE OF WORK | PROP FUNDS | TOTAL \$ | FHWA \$ | AC \$ | FTA \$ | TH \$ | OTHER \$ | AIR QUALITY CONFORMITY |
|-------|-------------------|-------|--------------|------------------------------------------------|----------------------------|----------------------------------------------------------------------------------|-------|------|--------------|------------|----------|---------|-------|--------|-------|----------|------------------------|
|       | 2011              | M     | BB           | TRF-1703-11                                    | Achieve Community Services | Section 5310 - Achieve Community Services - Class 400 Bus                        | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-7229-11                                    | East Suburban Resources    | Section 5310 - East Suburban Resources - Class 400 Bus                           | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-2428-11                                    | DARTS                      | Section 5310-DARTS - Class 400 Bus                                               | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-3244-11                                    | Human Services, Inc        | Section 5310-Human Services, Inc. - Class 400 Bus                                | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-0011-11A                                   | Lifeworks Service Inc      | Section 5310 - Lifeworks Service Inc. - Class 400 Bus                            | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-0011-11B                                   | Lifeworks Service Inc      | Section 5310-Lifeworks Service Inc. - Class 400 Bus                              | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-6966-11A                                   | Merrick                    | Section 5310-Merrick-Class 400 Bus                                               | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-6966-11B                                   | Merrick                    | Section 5310-Merrick-Class 400 Bus                                               | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-0260-11A                                   | Midwest Special Services   | Section 5310-Midwest Special Services - Apple Valley-Class 500 Bus               | 0     | NB   | Purchase Bus | FTA        | 118,000  | 0       | 0     | 94,400 | 0     | 23,600   | T10                    |
|       | 2011              | M     | BB           | TRF-0260-11B                                   | Midwest Special Services   | Section 5310-Midwest Special Services - Eagan - Class 500 Bus                    | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |





| SEG # | STATE FISCAL YEAR | A T P | ROUTE SYSTEM | PROJECT NUMBER (S.P.#)<br>(Fed # if available) | AGENCY        | DESCRIPTION<br>include location, description of all work, & city (if applicable) | MILES | PROG | TYPE OF WORK | PROP FUNDS | TOTAL \$ | FHWA \$ | AC \$ | FTA \$ | TH \$ | OTHER \$ | AIR QUALITY CONFORMITY |
|-------|-------------------|-------|--------------|------------------------------------------------|---------------|----------------------------------------------------------------------------------|-------|------|--------------|------------|----------|---------|-------|--------|-------|----------|------------------------|
|       | 2011              | M     | BB           | TRF-7899-11A                                   | Phoenix       | Section 5310-Phoenix-Class 400 Bus                                               | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-7899-11B                                   | Phoenix       | Section 5310-Phoenix-Class 400 Bus                                               | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-1567-11A                                   | PRISM Express | Section 5310-PRISM Express-Class 300 Bus                                         | 0     | NB   | Purchase Bus | FTA        | 54,000   | 0       | 0     | 43,200 | 0     | 10,800   | T10                    |
|       | 2011              | M     | BB           | TRF-1567-11B                                   | PRISM Express | Section 5310-PRISM Express-Class 400 Bus                                         | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-0890-11A                                   | Proact        | Section 5310-Proact-Eagan Bus-Class 400 Bus                                      | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-0890-11B                                   | Proact        | Section 5310-Proact-Eagan Bus-Class 400 Bus                                      | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |
|       | 2011              | M     | BB           | TRF-0890-11C                                   | Proact        | Section 5310-Proact-Eagan Bus-Class 500 Bus                                      | 0     | NB   | Purchase Bus | FTA        | 118,000  | 0       | 0     | 94,400 | 0     | 23,600   | T10                    |
|       | 2011              | M     | BB           | TRF-1767-11                                    | RISE          | Section 5310-RISE-Class 400 Bus                                                  | 0     | NB   | Purchase Bus | FTA        | 66,000   | 0       | 0     | 52,800 | 0     | 13,200   | T10                    |

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